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PATENT #6
KWS
32-01



DOCKET NO.: MSFT-0117/147323.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marcus Peinado, et al.

Serial No.: 09/525,509

Group Art Unit: 2768

Filing Date: March 15, 2000

Examiner: Not Yet Assigned

For: PRODUCING A BLACK BOX FOR A DIGITAL RIGHTS MANAGEMENT (DRM) SYSTEM

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DATE OF DEPOSIT: FEB 23 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Steven H. Meyer
TYPED NAME: STEVEN H. MEYER
REGISTRATION NO.: 37,189

Assistant Commissioner for Patents
Washington DC 20231

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).



In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued

examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of **\$180.00** as set forth in §1.17(p).
- ☒ **Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.**
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

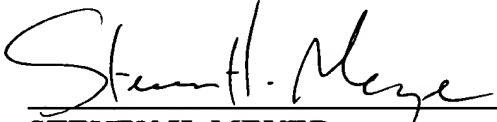
☒ Attached is a copy of the **PCT International Search Report** dated **February 19, 2001**, which indicates the documents considered to be relevant and, where appropriate, the relevant passages and claim numbers.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
This form is submitted in duplicate.

There are no listed references which are not in the English language.

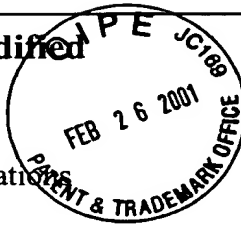
Date:

Feb 23 2001


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Form PTO-1449 Modified



Docket No.
**MFST-0117/
147323.1**

Serial No.
09/525,509

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

Applicant
Marcus Peinado, et al.

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U.S. Department of Commerce
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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
DQL	AA	5,883,955*	Ronning	3/16/99	380	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO	
DQL	AB	WO 98 33106 A*	7/30/98	PCT	xx	
	AC	EP 0 679 978 A*	11/2/95	EPO	xx	
	AD	EP 0 735 719 A*	10/2/96	EPO	xx	
	AE	EP 0 387 599 A *	9/19/90	EPO	xx	

EXAMINER

David Oke

DATE CONSIDERED

2/10/03

* This reference was cited in an International Search Report dated February 19, 2001.